

## BID OPPORTUNITY LIST

Transit Agency: \_\_\_\_\_  
 \_\_\_\_\_

Prime Contractor/Consultant: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

Physical Address: \_\_\_\_\_

Telephone Number: \_\_\_\_\_

FAX Number: \_\_\_\_\_

Quote/Bid Submitted MM/YR: \_\_\_\_\_

Primary Business Function: \_\_\_\_\_

Bidding or providing Quote on: \_\_\_\_\_

**Note:** Under the authority of The Department of Transportation we are required to develop and maintain a "bid opportunity list." The list is intended to be a listing of all firms that are participating, or attempting to participate, on DOT-assisted contracts. The list must include all firms that bid on prime contracts, or bid or quote subcontracts and materials supplies on DOT-assisted projects, including both DBEs and non-DBEs. For consulting companies this list must include all subconsultants contacting you and expressing an interest in teaming with you on a specific DOT assisted project. Prime contractors and consultants must provide information for Nos. 1,2,3, and 4 and should also provide any information they have available on Numbers 5,6,7, 8, and 9 for themselves, and their subcontractors and subconsultants.

<p>1. Federal Tax ID Number: _____ *8. <input type="checkbox"/> SBA</p> <p>2. Firm Name: _____</p> <p>3. Phone: _____</p> <p>4. Address: _____                  _____                  _____</p> <p>5. Year Firm Established: _____</p>	<p style="text-align: center;">Mark One</p> <p>9. Annual Gross Receipts</p> <p><input type="checkbox"/> Less than \$1 million</p> <p><input type="checkbox"/> Between \$1 - \$5 million</p> <p><input type="checkbox"/> Between \$5 - \$10 million</p> <p><input type="checkbox"/> Between \$10-\$15 million</p> <p><input type="checkbox"/> More than \$15 million</p> <p><input type="checkbox"/> &gt; than \$15 million but &lt; \$22.41 million *See below</p>
<p style="text-align: center;">Mark One</p> <p>6. <input type="checkbox"/> DBE  <input type="checkbox"/> Non-DBE</p>	<p style="text-align: center;">Mark One</p> <p>7. <input type="checkbox"/> Subcontractor  <input type="checkbox"/> Subconsultant</p>

10. Attach References and other credentials.

Remarks: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

\*The standards of the Small Business Administration (SBA) found in 19 CFR part 121 must be met. Size standards have been established for types of economic activity, or industry, generally under the North American Industry Classification System (NAICS). The firm's (including its affiliates) average annual gross receipts over the past three (3) fiscal years must not have been in excess of \$22.41 million.

KENTUCKY TRANSPORTATION CABINET  
OFFICE OF TRANSPORTATION DELIVERY

BID/PROPOSAL EVALUATION/APPROVAL CHECKLIST  
SECTION 5311/5310/5309/5316/5317

Agency Name:  
Project Number:  
Type of Project:  
DUNS NO:

Independent Cost Estimate Prior to IFB/RFP \_\_\_\_\_

Specifications Approved by KYTC  
(Provide copy of KYTC Letter) \_\_\_\_\_

Copy of Advertisement  
(Include Date of Advertisement) \_\_\_\_\_

Adequate Solicitation  
(List of all vendors bid package sent to) \_\_\_\_\_

Summary of All Bids Received  
(Include Non-Responsive Bids) \_\_\_\_\_

Price or Cost Analysis  
(Provide signed & dated detailed written price or cost analysis) \_\_\_\_\_

*Pre-Award Audits: (Rolling Stock Only)*  
(To be signed by Transit Agency after reviewing required information from Vendor)

*Certification of Compliance with Federal Motor  
Vehicle Safety Standards*  
(must have seen/reviewed certification) \_\_\_\_\_

*Buy America Certification*  
(must see and review information verifying  
components and subcomponent parts of the rolling  
stock identified by manufacturer of the parts, their  
country of origin and costs; and, the location of the  
final assembly point for the rolling stock, including a  
description of the activities that will take place at the  
final assembly point and the cost of final assembly.  
Must meet BA requirements under Section 165 (a)  
or (b)(3)

*Purchaser's Requirements Certification* \_\_\_\_\_

*Altoona Test Results for each Vehicle*  
(must have at least copy of the Summary Report) \_\_\_\_\_

*Transit Vehicle Manufacturer*  
(printed screen verifying manufacturer is on FTA TVM list) \_\_\_\_\_

Project No. \_\_\_\_\_

**Debarment and Suspension**

\_\_\_\_\_

(printed screen verifying vendor is not on the  
Excluded Parties List System )

**Draft Vendor Agreement**

\_\_\_\_\_

**Federal Model Clauses signed by Vendor**

\_\_\_\_\_

\_\_\_\_\_  
Agency Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Project Manager Signature

\_\_\_\_\_  
Date

***All elements must be checked or marked N/A by the Project Manager for a bid to receive State and Federal Approval.***